

REGION IV

Long Term Care Certification and Enforcement Branch

Summary of State Use of CMP Funds

2012-2016



Civil Money Penalty (CMP) Funds in Region IV have been used for:



Culture Change



Direct Improvements to Quality of Care



Resident Transition due to Facility Closure or Downsizing



Training

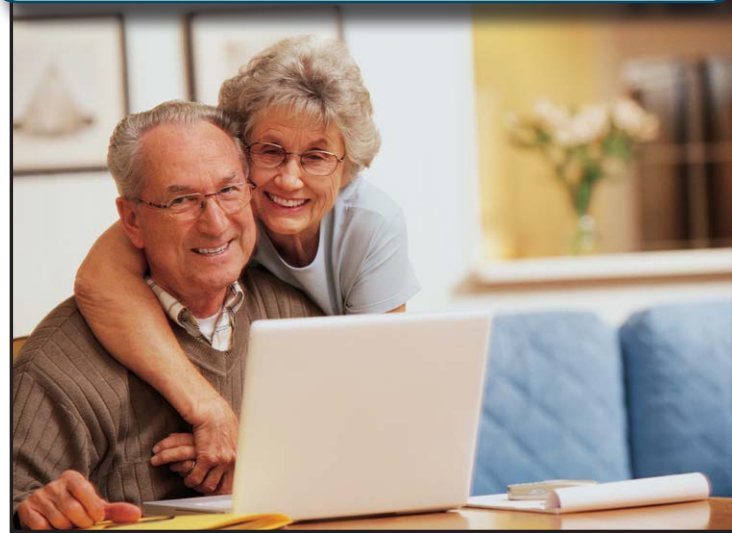


Civil Money Penalty (CMP) Funds in Region IV have not been used for:

Resident or Family Councils



Consumer Information



Transition Preparation





2012 Approved CMP Projects

| STATE | PROJECT NO. | PURPOSE OF THE CMP FUNDING | APPROVED CMP AMT. | ORGANIZATION |
|-------|------------------|--|-------------------|--|
| AL | | None | | |
| FL | 2012-04-FL-0321E | The Community Courtyard Wellness Enhancement Project | \$96,172.00 | Palatka Health Care Center |
| FL | 2012-04-FL-0423 | To develop and furnish a Life Enrichment Center and Spa | \$25,000.00 | Life Care Center of Sarasota |
| GA | 2012-04-GA-0327 | National Criminal Background Check Program | \$240,000.00 | Georgia Department of Community Health |
| KY | 2012-04-KY-0319 | Joint training on falls and antipsychotic drug therapy | \$26,737.00 | Kentucky State Survey Agency |
| KY | 2012-04-KY-0320 | MDS 3.0 Training | \$13,710.00 | Kentucky State Survey Agency |
| KY | 2012-04-KY-0429 | MDS 3.0 Training and Care Area Assessments (CAAs), and review of common transmission errors. Review of OIG report, "Care Planning-Discharge Planning Recommendations, OEI 02-09-00201 | \$17,301.28 | Kentucky State Survey Agency |
| KY | 2012-04-KY-0429B | MDS 3.0 Advanced Training for MDS coordinators and state agency surveyors | \$9,500.00 | Kentucky State Survey Agency |
| KY | 2012-04-KY-0408 | "Making It Stick": Involving Everyone in Continuous Improvement. The KY SSA identified an increase in the citation of Quality Assessment and Assurance, F-520 in the last three years. | \$48,500.00 | Kentucky State Survey Agency |
| MS | | None | | |
| NC | 2012-04-NC-LTCO | CMP Funds to support the LTC Ombudsman Program. This CMP was in effect before 2012. Agreement No. 0026250. | \$200,000.00 | North Carolina State Survey Agency |
| NC | 2012-04-NC-1204 | Fall Prevention Workshops for approximately 500-600 providers, 20 LTCO, and 100 state surveyors | \$3,345.00 | North Carolina State Survey Agency |
| NC | 2012-04-NC-0807 | Statewide Training on the Partnership to Improve Dementia Care | \$3,957.00 | North Carolina State Survey Agency |
| NC | 2012-04-NC-0120 | Enhanced Education of Infection Control Prevention in Nursing Homes | \$138,996.00 | University of North Carolina at Chapel Hill- Statewide Program for Infection Control (SPICE)NC |
| NC | 2012-04-NC-1019 | Food Service Equipment for enhanced resident dining experience | \$14,275.79 | BT2, Inc. d/b/a Skyland Care Center |
| NC | 2012-04-NC-1024 | Portable Snoezelen multi-sensory therapy (MST) system for residents with dementia | \$9,807.27 | White Oak Manor-Shelby |
| NC | 2012-04-NC-1025 | iPads and computer accessories to increase socialization, decrease depression and isolation by using iPad technology. | \$9,979.00 | Liberty Nursing & Rehabilitation of Mecklenburg County |
| NC | 2012-04-NC-1026 | Purchase food service equipment for Wacky Wednesday and other festive events in the facility | \$12,431.00 | Lutheran Home-Winston Salem d/b/a Trinity Glen |
| NC | 2012-04-NC-1101B | To create an interactive Sensory Garden with six musical instruments in the central park of the nursing home | \$24,327.00 | O'Berry Neural Medical Treatment Center |
| NC | 2012-04-NC-1109 | To transform an existing outdoor space into a pergola-centered Healing Garden | \$15,658.00 | Conover Nursing and Rehabilitation Center |
| NC | 2012-04-NC-1026B | Purchase "Bathing Without a Battle" CD, DVD, a Carendo shower chair, mobile carts and other bathing enhancements | \$9,068.00 | Lutheran Home-Hickory West |



2012 Approved CMP Projects cont'

| STATE | PROJECT NO. | PURPOSE OF THE CMP FUNDING | APPROVED CMP AMT. | ORGANIZATION |
|-------|------------------|--|-------------------|--|
| NC | 2012-04-NC-1026C | Modify an existing courtyard and turn it into a functional outdoor space that is readily accessible to residents | \$14,364.00 | Lutheran Home- Hickory |
| NC | 2012-04-NC-1029B | To purchase two WhisperGLIDE swings | \$11,015.00 | Liberty Commons Nursing & Rehabilitation Center of Lee County |
| NC | 2012-04-NC-1029C | To change a multi-purpose room into a library and computer learning space for residents | \$15,000.00 | Lutheran Home at Trinity Oaks |
| NC | 2012-04-NC-1105 | To purchase It's Never Too Late (iN2L) adaptive computer technology | \$10,000.00 | White Oak of Waxhaw |
| NC | 2012-04-NC-1107 | To purchase It's Never Too Late (iN2L) adaptive computer technology | \$10,000.00 | Lenoir Healthcare Center |
| NC | 2012-04-NC-1113 | To purchase It's Never Too Late (iN2L) adaptive computer technology | \$10,000.00 | The Forest at Duke |
| NC | 2012-04-NC-1115 | To purchase It's Never Too Late (iN2L) adaptive computer technology | \$10,000.00 | Ashton Place Health and Rehabilitation |
| NC | 2012-04-NC-1126 | To purchase It's Never Too Late (iN2L) adaptive computer technology | \$10,000.00 | Piedmont Crossing |
| NC | 2012-04-NC-1126B | To purchase It's Never Too Late (iN2L) adaptive computer technology | \$10,000.00 | Fair Haven Home, Inc. |
| NC | 2012-04-NC-1126C | To purchase It's Never Too Late (iN2L) adaptive computer technology | \$10,000.00 | Asbury Care Center at Aldersgate United Methodist Retirement Community, Inc. |
| NC | 2012-04-NC-1130 | To purchase It's Never Too Late (iN2L) adaptive computer technology | \$10,000.00 | Givens Health Center |
| NC | 2012-04-NC-1212 | To purchase It's Never Too Late (iN2L) adaptive computer technology | \$10,000.00 | Valley Nursing Center |
| NC | 2012-04-NC-1206 | To open and operate, "The Pitstop", a sports themed, internet café for residents | \$11,060.00 | Forrest Oakes Healthcare Center, LLC |
| NC | 2012-04-NC-1107B | To enhance the dining room and create a more appealing outdoor multipurpose courtyard conducive to visiting, socializing and activities | \$14,961.00 | Brian Center Health & Rehabilitation of Wilson |
| NC | 2012-04-NC-1228 | To create an enhanced dining room and theater space for residents | \$15,000.00 | Cornelia Nixon Davis, Inc. d/b/a Davis Health Care Center |
| NC | 2012-04-NC-1114A | To transform an existing patio and garden | \$15,000.00 | Universal Healthcare of Greenville |
| NC | 2012-04-NC-1212B | To modify one of three existing courtyards and turn it into a functional outdoor space with raised gardens for the residents pleasure | \$4,985.00 | Liberty Commons Nursing & Rehabilitation Center of Columbus County |
| NC | 2012-04-NC-1126E | To enhance the Queen City Café with a full size jukebox, iPod dock, a home theater, project, refrigerator, air tap beverage dispenser, ice cream freezer cabinet, a bakery case, and triple spindle drink mixer | \$15,000.00 | GGNS Charlotte American, LLC d/b/a/ Golden Living Center-Charlotte |
| NC | 2012-04-NC-1127 | To purchase food service equipment and an outdoor grill with accessories | \$15,000.00 | Abernathy Laurels |
| SC | 2012-04-SC-0926 | 2012 Spirit of Caring | \$3,626.00 | South Carolina State Survey Agency |
| TN | 2012-04-TN-1029 | To provide education and training to providers, LTCO and surveyors on the Partnership to Improve Dementia Care. TN had the highest usage of antipsychotic medications in Region IV at 30.1 percent for long stay residents | \$382,085.00 | Tennessee State Survey Agency |



2013 Approved CMP Projects

| STATE | PROJECT NO. | PURPOSE OF THE CMP FUNDING | APPROVED CMP AMT. | ORGANIZATION |
|-------|-------------------|--|-------------------|---|
| AL | 2013-04-AL-0606 | To implement Project Cares in 21 Alabama nursing homes located throughout the state, and to continue Project Cares in 13 nursing homes in the Tri-County Region. 2014-2015 | \$143,340.00 | Alzheimer's Education, Resources and Education (AERS) and the Springboard Education Foundation |
| AL | 2013-04-AL-0607 | To implement Seniors Taking Action Today (STAT) program in conjunction with the Arthritis Foundation in 50 nursing homes. | \$320,846.00 | Alabama Department of Public Health |
| FL | 2013-04-FL-0321E | The outdoor living space for 180 residents will be transformed in three phases over a 90-day period into three courtyards | \$96,172.00 | Palatka Health Care Center |
| FL | 2013-04-FL-0423 | Life Enrichment Center and Spa | \$25,000.00 | Life Care Center of Sarasota |
| FL | 2013-04-FL-0321LL | To purchase iPads and software to implement and assistive technology program for medically fragile children and young adults between the ages of birth and twenty-one. | \$11,428.21 | Children's Comprehensive Care Center |
| FL | 2013-04-FL-0321MM | To conduct dementia training for Arbor Village, and to purchase theatre equipment, jukebox, iPods, gliders and other enhancement for the 56-bed Alzheimer's neighborhood | \$20,000.00 | Wildwood SNF LLC d/b/a Arbor Village |
| FL | 2013-04-FL-0321G | Implement the Rothman Index to monitor Medicare residents receiving post-acute care. | \$32,968.00 | Pines of Sarasota, Inc. |
| GA | 2013-04-GA-0320 | To provide telepsychiatry services for six rural nursing homes located in the Health Professional Shortage Area (HPSA) or Mental Health Professional Shortage (MHPSA) areas. | \$53,700.00 | CareNow Services, LLC |
| KY | 2013-04-KY-0509 | Partnership to Improve Dementia Care in KY nursing homes | \$322,071.13 | Kentucky State Survey Agency |
| KY | 2013-04-KY-0510 | MDS 3.0 Training | \$22,653.10 | Kentucky State Survey Agency |
| MS | | None | | |
| NC | 2013-04-NC-0107 | Purchase a buffet hot food station, soft serve yogurt machine, soup kettle, blender, portable ice machine, tablecloths | \$16,011.13 | Colony Ridge Nursing and Rehabilitation Center |
| NC | 2013-04-NC-0405 | To learn how to provide more person-centered dementia care from the Alzheimer's Resource Center of Connecticut (ARCC), Plantsville, CT | \$60,971.00 | Lutheran Services Carolinas, Lutheran Services for the Aging, Inc. |
| NC | 2013-04-NC-0711 | Music & Memory Program workshops in Durham, NC; New Bern, NC and Statesville, NC. Featuring Dan Cohen | \$19,603.17 | North Carolina Culture Change Coalition |
| NC | 2013-04-NC-1203 | To create two infection control modules for LTC facilities focused on preventing inter and intra facility transmission of Clostridium Difficile and prevention of urinary tract infections | \$81,273.00 | Statewide Program for Infection Control and Epidemiology, University of North Carolina at Chapel Hill |
| SC | 2013-04-SC-0408 | To modify and existing courtyard and turn it into functional outdoor space readily accessible to residents. | \$28,600.00 | White Oak of Newberry |
| TN | 2013-04-TN | Partnership to Improve Dementia Care. TN had the highest usage of antipsychotic medications in Region IV at 30.1 percent for long stay residents. The national average was 23.8 percent. | \$371,714.00 | Tennessee State Survey Agency |



2014 Approved CMP Project

| STATE | PROJECT NO. | PURPOSE OF THE CMP FUNDING | APPROVED CMP AMT. | ORGANIZATION |
|-------|----------------------|---|-------------------|--|
| AL | 2014-04-AL-AMDA-1006 | The Young Adult in LTC project over a three year period | \$204,552.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |
| AL | 2014-04-AL-UOL-0303 | To implement a multi-state quality improvement initiative offering the Clinical Practice Guidelines (CPGs) and other resources developed by the American Medical Director's Association (AMDA)-The Society for Post-Acute and Long Term Care Medicine | \$160,232.00 | University of Louisville Research Foundation, Inc. |
| FL | 2014-04-FL-UOL-0303 | To implement a multi-state quality improvement initiative offering the Clinical Practice Guidelines (CPGs) and other resources developed by the American Medical Director's Association (AMDA)-The Society for Post-Acute and Long Term Care Medicine | \$482,753.00 | University of Louisville Research Foundation, Inc. |
| FL | 2014-04-FL-AMDA-1006 | The Young Adult in LTC project over a three year period | \$517,330.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |
| FL | 2014-04-FL-0701 | "Improving Nursing Home Outcomes Through Collaborative Learning."The project was designed to promote person-centered care in 20 Florida nursing homes over 12 months in conjunction with the Florida Pioneer Network | \$94,951.00 | The Florida Pioneer Network and Quality Focus Consulting |
| FL | 2014-04-FL-0623 | To implement telemedicine in four certified nursing homes from 6:00 PM to 7:00 AM. Two of four nursing homes are in Health Professional Shortage Areas (HPSA) or Mental Health Professional Shortage (MHPSA) areas | \$244,000.00 | The TRECS Institute |
| GA | 2014-04-GA-AMDA-1006 | The Young Adult in LTC regional project over a three year period | \$293,524.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |
| KY | 2014-04-KY-AMDA-1006 | The Young Adult in LTC regional project over a three year period | \$242,879.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |
| KY | 2014-04-KY-0805 | CMP funds to host training on abuse, neglect and exploitation on 10/31/2014 | \$12,562.54 | Jefferson County Attorney's Office (JCAO) and the Louisville Metro Elder Abuse Services Coordinating Committee |
| KY | 2014-04-KY-0429 | MDS 3.0 Training on August 7-8, 2014 | \$17,301.28 | Kentucky State Survey Agency |
| KY | 2014-04-KY-0806 | To promote statewide adoption of person-centered care (PCC) in 282 Kentucky certified nursing homes, and a cohort of up to 50 Kentucky nursing homes over a 15 month period. | \$654,621.00 | University of Louisville Research Foundation, Inc. |



2014 Approved CMP Project cont'

| STATE | PROJECT NO. | PURPOSE OF THE CMP FUNDING | APPROVED CMP AMT. | ORGANIZATION |
|-------|----------------------|--|-------------------|---|
| MS | 2014-04-MS-AMDA-1006 | The Young Adult in LTC regional project over a three year period | \$187,441.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |
| MS | 2014-04-MS-0117 | To provide education, training and resources to providers, advocates, the LTC Ombudsman, QIO and state surveyors on the Partnership to Improve Dementia Care | \$359,100.00 | GROW Mississippi Culture Change Coalition |
| MS | 2014-04-MS-0314 | Install flat screen TV's and mounts in LTC resident rooms, purchase a 32 Channel DirecTV SD Satellite System. | \$27,269.79 | Mississippi Care Center of Morton |
| NC | 2014-04-NC-0106 | To repurpose two, adjacent rooms into the Summit Square movie theatre and the Salem Cafe | \$13,798.90 | Oak Forest Health and Rehabilitation |
| NC | 2014-04-NC-0211 | Partnership to Improve Dementia Care-Symposium on the impact of sleep deprivation on behaviors. The program was sponsored by the NC Health Care Facilities Association (NHCFA) and Empira. | \$22,420.00 | North Carolina State Survey Agency |
| NC | 2014-04-NC-0220 | To purchase iN2L adaptive computer technology | \$23,933.00 | BT2, Inc. d/b/a Skyland Care Center |
| NC | 2014-04-NC-0225 | To purchase iN2L adaptive computer technology | \$17,680.00 | Carol Woods Retirement Community |
| NC | 2014-04-NC-0227 | To develop a sensory garden and walking trail | \$20,327.45 | Clapp's Convalescent Nursing Home |
| NC | 2014-04-NC-0312 | Purchase two WhisperGLIDE swings | \$11,239.00 | Autumn Care of Saluda |
| NC | 2014-04-NC-0414 | To expand the adoption of Eden Alternative principles (5, 6 and 7) and person-centered care | \$23,537.00 | Longleaf Neuro-Medical Treatment Center |
| NC | 2014-04-NC-0519 | To purchase food service equipment for a "Sweet Treats" care and computer equipment to establish a certified Music and Memory program | \$17,987.00 | White Oak of Shelby |
| NC | 2014-04-NC-1031 | To purchase food service equipment to enhance the meal delivery system | \$22,733.00 | Senior Ventures & Management, Inc. d/b/a Big Elm Retirement and Nursing Center |
| NC | 2014-04-NC-1208 | To purchase food service equipment to implement changes in the village dining program and meal delivery system | \$15,547.00 | Ashton Place Health and Rehabilitation |
| NC | 2014-04-NC-0311 | Music and Memory Program | \$10,720.21 | Brian Center Health and Rehabilitation Center-Spruce Pine |
| NC | 2014-04-NC-0521 | To equip a centralized, multi-media space with desktop computers, wireless devices, furnishings and a 50-inch SMART television to provide LTC resident access to the Internet | \$23,975.00 | Black Mountain Neuro-Medical Treatment Center |



2014 Approved CMP Project cont'

| STATE | PROJECT NO. | PURPOSE OF THE CMP FUNDING | APPROVED CMP AMT. | ORGANIZATION |
|-------|----------------------|--|-------------------|---|
| NC | 2014-04-NC-0722 | To purchase iPods, iPads and head phones to implement a Music and Memory Program. | \$10,525.00 | Carolina Rivers Nursing and Rehabilitation Center |
| NC | 2014-04-NC-0522 | To purchase iPods, iPads and head phones to implement the Memorable Music Project | \$12,415.00 | Trinity Place |
| SC | 2014-04-SC-AMDA-1006 | The Young Adult in LTC regional project over a three year period | \$178,543.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |
| SC | 2014-04-SC-0425 | To implement the project, entitled, "Facilitating Long Term Oral Health Skills FIOSS at the Harvest Health and Rehab of Johns Island over an 18 month period | \$96,567.00 | College of Dental Medicine, Department of Stomatology, Center for Oral Health Research |
| TN | 2014-04-TN-0818 | To implement, "Nursing Facilities: A Venue to Excellence," a project focused on compassionate person-centered care and dementia training for 600 employees working in six, nursing homes affiliated with National Healthcare Corporation (NHC) | \$250,000.00 | Lipscomb University School of TransformAging and Alzheimer's Tennessee, Inc. and QEC Partners |
| TN | 2014-04-TN-0822 | Reducing Antipsychotic Drug Use in Skilled Nursing Facilities through Web-Based Staff Training Intervention and QAPI in 30 low STAR rated nursing homes throughout the state | \$175,148.00 | Vanderbilt University Medical Center |
| TN | 2014-04-TN-0109 | To purchase iN2L adaptive computer technology in 28 Signature HealthCare nursing homes | \$249,704.00 | Signature HealthCare |
| TN | 2014-04-TN-0820 | To educate and train staff in six Tennessee nursing homes, over a nine month period, on Eden Alternative principles, person-centered care, and optimizing medication systems | \$41,402.00 | The Eden Alternative |



2015 Approved CMP Project

| STATE | PROJECT NO. | PURPOSE OF THE CMP FUNDING | APPROVED CMP AMT. | ORGANIZATION |
|-------|---------------------|--|-------------------|---|
| AL | 2015-04-AL-UOL-0303 | To implement a multi-state quality improvement initiative offering the Clinical Practice Guidelines (CPGs) and other resources developed by the American Medical Director's Association (AMDA)-The Society for Post Acute and Long Term Care Medicine | \$160,232.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |
| AL | 2015-04-AL-0506 | Third Year of Funding for the continuation of dementia care training | \$187,600.00 | Alzheimer's Education, Resources and Services, Inc. |
| FL | 2015-04-FL-0812 | To make two evidence based training programs accessible to Spanish speaking staff (i.e. Bathing Without a Battle and Mouth Care Without a Battle) to improve person-centered care and outcomes in nursing homes | \$143,776.00 | Generativity, LLC |
| GA | 2015-04-GA-UOL-0303 | To implement a multi-state quality improvement initiative offering the Clinical Practice Guidelines (CPGs) and other resources developed by the American Medical Director's Association (AMDA)-The Society for Post Acute and Long Term Care Medicine | \$251,871.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |
| KY | 2015-04-KY-UOL-0303 | To implement a multi-state quality improvement initiative offering the Clinical Practice Guidelines (CPGs) and other resources developed by the American Medical Director's Association (AMDA)-The Society for Post Acute and Long Term Care Medicine | \$201,113.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |
| KY | 2015-04-KY-0810 | MDS 3.0 Coding and Interpretation Basics Training | \$4,740.00 | Kentucky State Survey Agency |
| KY | 2015-04-KY-1001 | To purchase 35 LiveScan fingerprint collection machines | \$689,410.00 | Kentucky State Survey Agency |
| KY | 2015-04-KY-0108 | <p>To provide multi-sensory behavior therapy (MSBT) to improve the quality of life for resident's with dementia and Alzheimer's disease for 10 Signature HealthCare nursing homes</p> <ul style="list-style-type: none"> • Harriam Care & Rehabilitation • Mountain Cley Care & Rehabilitation • Nashville Community Care & Rehabilitation at Bordeaux • Signature HealthCare of Clarksville • Signature HealthCare of Columbia • Signature HealthCare of Erin • Signature HealthCare of Fentress County • Signature HealthCare of Greenville • Signature HealthCare of Memphis • Standing Stone Care & Rehabilitation | \$176,000.00 | Serenity HealthCare, a subsidiary of Signature HealthCare |
| MS | 2015-04-MS-UOL-0303 | To implement a multi-state quality improvement initiative offering the Clinical Practice Guidelines (CPGs) and other resources developed by the American Medical Director's Association (AMDA)-The Society for Post Acute and Long Term Care Medicine | \$142,535.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |



2015 Approved CMP Project cont'

| STATE | PROJECT NO. | PURPOSE OF THE CMP FUNDING | APPROVED CMP AMT. | ORGANIZATION |
|-------|---------------------|--|-------------------|---|
| MS | 2015-04-MS-0805 | To purchase a movie screen projector, movie screen, and surround sound system | \$3,333.00 | Stone County Nursing and Rehabilitation Center |
| MS | 2015-04-MS-0819 | For fixtures and furnishings for the resident /family guest cottage | \$10,802.03 | Yalobusha County Nursing Home |
| MS | 2015-04-MS-0923 | To purchase two, LED monitors, a laptop, speakers and equipment installation | \$15,059.00 | Kemper County LTC d/b/a Mississippi Care Center of Dekalb |
| MS | 2015-04-MS-0831 | To purchase various pieces of equipment to increase socialization, activity participation and improve quality of life for long stay residents | \$20,413.00 | Canton Christian Convalescent Center, LLC d/b/a Maggie Valley Nursing and Rehabilitation |
| NC | 2015-04-NC-0128 | To provide the "Let's Move North Carolina" program to approximately 800 certified nursing home providers and staff with a focus on disclosing the perils and pitfalls of unnecessary wheelchair use. Training in Asheville, NC; Durham, NC; Concord, NC; and New Bern, NC. | \$79,960.50 | North Carolina Culture Change Coalition |
| NC | 2015-04-NC-0519 | Heart of Living Program at seven nursing homes affiliated with Lutheran Services for the Aging, Inc. <ul style="list-style-type: none"> • Trinity Glen, • Trinity Grove, • Trinity Oaks, • Trinity Place, • Trinity Village, • Trinity Ridge and • Trinity Elms | \$36,190.00 | Lutheran Services for the Aging |
| NC | 2015-04-NC-0825 | Music and Memory Program | \$17,964.00 | Universal Healthcare / Fletcher |
| NC | 2015-04-NC-0215 | Memorable and Meaningful Moments Music (m3) project. | \$16,480.00 | Trinity Grove |
| NC | 2015-04-NC-0922 | Meaningful Music Project | \$15,932.00 | Trinity Ridge |
| NC | 2015-04-NC-0921 | To implement iN2L adaptive computer technology | \$23,066.00 | Rich Square Health Care Center |
| SC | 2015-04-SC-UOL-0303 | To implement a multi-state quality improvement initiative offering the Clinical Practice Guidelines (CPGs) and other resources developed by the American Medical Director's Association (AMDA)-The Society for Post Acute and Long Term Care Medicine | \$133,343.00 | American Medical Director's Association, The Society for Post-Acute and Long Term Care Medicine |
| SC | 2015-04-SC-0821 | 2015 Spirit of Caring | \$7,023.04 | South Carolina State Survey Agency |
| SC | 2015-04-SC-0917 | To implement iN2L adaptive computer technology | \$20,000.00 | Pruitthealth North Augusta |
| TN | 2015-04-TN-0331 | To educate and train direct care staff on the ten Eden Alternative principles | \$9,900.00 | Pickett Care and Rehabilitation Center |
| TN | 2015-04-TN-0331BB | To provide an educational program entitled, "Key Practices for QAPI Success" for 300 staff from 150 certified nursing homes and 75 residents and family members | \$53,874.25 | Tennessee Commission on Aging and Disability |
| TN | 2015-04-TN-0831 | To implement the Reframing Dementia project in 240 Tennessee certified nursing homes over a three year period | \$392,543.00 | Tennessee Edenizing Foundation d/b/a Tennessee Eden Alternative Coalition (TEAC) |



2016 Approved CMP Project

| STATE | PROJECT NO. | PURPOSE OF THE CMP FUNDING | APPROVED CMP AMT. | ORGANIZATION |
|-------|---------------------|---|-------------------|---|
| AL | 2016-04-AL-FAU-0426 | To educate residents, family members, staff and community partners on Reducing Avoidable Hospitalizations Across the Continuum of Care | \$88,089.90 | Florida Atlantic University |
| AL | 2016-04-AL-0525 | To develop and implement a Music and Memory program and Art Therapy program for 32 certified Alabama nursing homes over a three-year period. | \$1,500,000.00 | Alzheimer's Education, Resources & Services, Inc. |
| FL | 2016-04-GA-FAU-0426 | To educate residents, family members, staff and community partners on Reducing Avoidable Hospitalizations Across the Continuum of Care | \$119,255.10 | Florida Atlantic University |
| GA | 2016-04-FL-FAU-0426 | To educate residents, family members, staff and community partners on Reducing Avoidable Hospitalizations Across the Continuum of Care | \$115,094.90 | Florida Atlantic University |
| GA | 2016-04-GA-0128 | To create a green space for the nursing home | \$47,339.50 | Avalon Health & Rehabilitation |
| GA | 2016-04-GA-0129 | To conduct Virtual Dementia Tours (VDTs) in 169 certified nursing homes over a three-year period | \$2,934,294.00 | Second Wind Dreams |
| GA | 2016-04-GA-0205 | To determine best practices in bereavement care for residents, family members and staff of long term care facilities. Education and training will be provided on POLST and advanced care planning | \$176,032.00 | University of Georgia Research Foundation |
| GA | 2016-04-GA-0511 | To implement culture change and person-centered care utilizing the Eden Alternative principles | \$88,000.00 | A.G. Rhodes Health and Rehabilitation- Cobb |
| KY | 2016-04-KY-FAU-0426 | To educate residents, family members, staff and community partners on Reducing Avoidable Hospitalizations Across the Continuum of Care | \$91,956.40 | Florida Atlantic University |
| KY | 2016-04-KY-0509 | To provide virtual dementia tours for residents, family members, direct care staff over a two-year period | \$9,515.50 | Golden Living Center-Green Hill |
| KY | 2016-04-KY-0510 | To provide virtual dementia tours for residents, family members, direct care staff over a two-year period | \$10,000.00 | Kenton Housing, Inc., d/b/a Rosedale Green |
| KY | 2016-04-KY-0906 | MDS 3.0 training for approximately 225 providers and surveyors | \$15,812.00 | Kentucky State Survey Agency |
| KY | 2016-04-KY-0511 | To purchase iN2L adaptive computer technology | \$21,360.00 | Sayre Christian Village Healthcare Center |
| MS | 2016-04-MS-FAU-0426 | To educate residents, family members, staff and community partners on Reducing Avoidable Hospitalizations Across the Continuum of Care | \$86,714.90 | Florida Atlantic University |
| MS | 2016-04-MS-0613 | For Bedford Care Partners to develop a cadre of qualified staff to provide dementia education in eight nursing homes; | \$22,460.00 | HMP Management Corporation |
| NC | 2016-04-NC-FAU-0426 | To educate residents, family members, staff and community partners on Reducing Avoidable Hospitalizations Across the Continuum of Care | \$109,887.73 | Florida Atlantic University |



2016 Approved CMP Project cont'

| STATE | PROJECT NO. | PURPOSE OF THE CMP FUNDING | APPROVED CMP AMT. | ORGANIZATION |
|-------|---------------------|---|-------------------|--|
| NC | 2016-04-NC-0504 | To purchase a computer, printer, large screen TV, home theatre system, forty iPads and cases and sixty headphones | \$20,787.47 | Winston Salem Nursing and Rehabilitation |
| NC | 2016-04-NC-0602 | To repurpose the staff dining room into a dining room for residents. | \$24,002.00 | Longleaf Neuro-Medical Treatment Center |
| NC | 2016-04-NC-0613 | To enhance an existing Healing Garden and create a more therapeutic outdoor environment for residents, family members visitors and staff. | \$32,310.00 | Conover Nursing and Rehabilitation Center |
| SC | 2016-04-SC-FAU-0426 | To educate residents, family members, staff and community partners on Reducing Avoidable Hospitalizations Across the Continuum of Care | \$85,600.00 | Florida Atlantic University |
| SC | 2016-04-SC-0719 | Music and Memory program | \$2,500.00 | Richard M. Campbell Veterans Nursing Home |
| SC | 2016-04-SC-0525 | To implement a project focused on "Individualized Dementia Care and Elimination of Off-Label Antipsychotic Medication Use in a minimum of 75 South Carolina nursing homes | \$862,287.32 | LeadingAge South Carolina |
| SC | 2016-04-SC-0802 | 2016 Spirit of Caring | \$4,523.04 | South Carolina State Survey Agency |
| SC | 2016-04-SC-0928 | Music and Memory program | \$2,500.00 | Anchor Rehabilitation and Healthcare Center of Aiken |
| TN | 2016-04-TN-0808 | To implement culture change and person-directed care utilizing the Eden Alternative principles | \$31,000.00 | Mountain City Care and Rehabilitation |
| TN | 2016-04-TN-FAU-0426 | To educate residents, family members, staff and community partners on Reducing Avoidable Hospitalizations Across the Continuum of Care | \$103,400.80 | Florida Atlantic University |



Unfunded CMP Projects 2012-2016

| STATE | PROJECT NO. | BRIEF EXPLANATION OF THE CMP REQUEST | ORGANIZATION | UNFUNDED CMP AMT. |
|-------|-------------------|--|---|-------------------|
| AL | 2014-04-AL-0918 | Funding for Year Two of the Seniors Taking Action (STAT) program in 40 certified nursing homes; | Alabama Department of Public Health, Alabama Arthritis Foundation | |
| FL | 2013-04-FL-0321 | To purchase hardware and software to implement and electronic health records charting system; | Miracle Hill Nursing and Rehabilitation Center, Inc. | \$72,977.00 |
| FL | 2013-04-FL-0321KK | To purchase two, noninvasive Zoe Fluid status monitors, designed to monitor fluid levels via bio impedance; | Orlando Health Central, Inc... d/b/a Health Park Central | \$4,672.58 |
| GA | 2014-04-GA-0723 | To purchase Relias Learning online education for 336 Georgia nursing homes; | Georgia Health Care Association | \$5,215,366.00 |
| GA | 2016-04-GA-0623 | To purchase health information technology equipment for learning laboratories; develop a State Survey Agency workforce development training program, with a focus on administration, social work and nursing, and to host inter-professional and regional conferences; | Savannah State University | \$1,500,000.00 |
| KY | 2014-04-KY-0114 | To comply with Kentucky State Regulation (KDR) 216.600, an unfunded legislative mandate and to evaluate oral hygiene in two, Kentucky nursing homes; | Kentucky State Survey Agency | \$220,000.00 |
| KY | 2015-04-KY-0601 | To use organizational psychology techniques to implement the Ignite Program in ten nursing homes; | OzzCARE | \$159,800.00 |
| KY | 2016-04-KY-0624 | To establish a Music and Memory program for 120 nursing homes; | Kentucky Health Care Association | \$998,079.00 |
| MS | 2015-04-MS-0911 | To purchase the SimpleC Companion intuitive touch-screen technology for 35 of 180 certified beds; | Biloxi Community Living Center | \$123,549.00 |



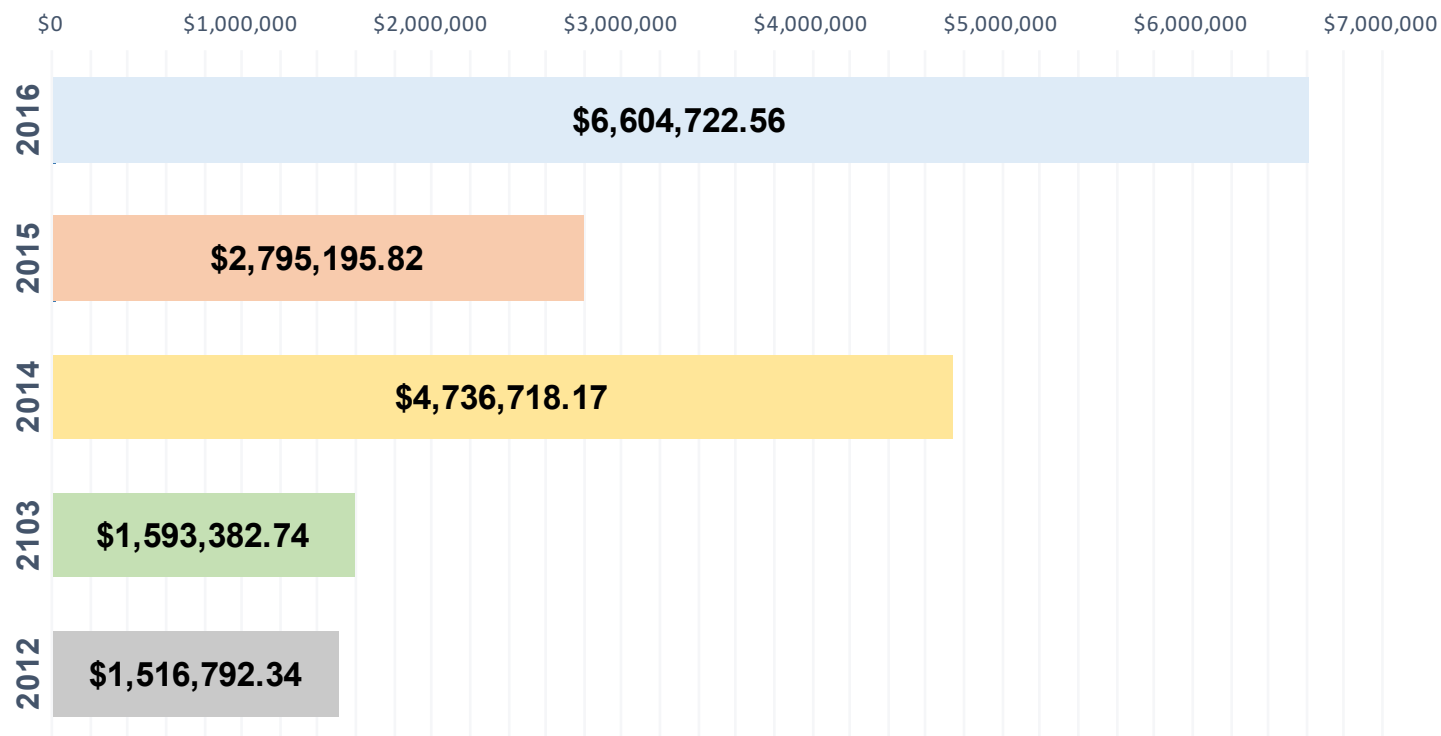
Unfunded CMP Projects 2012-2016 cont'

| STATE | PROJECT NO. | BRIEF EXPLANATION OF THE CMP REQUEST | ORGANIZATION | UNFUNDED CMP AMT. |
|-------|------------------|---|---|-------------------|
| NC | 2012-04-NC-0117 | To augment the LTCO budget for a program entitled, Beyond the Complaint: Improving Nursing Home Life and Care; | State LTC Ombudsman | |
| NC | 2012-04-NC-0815 | CMP funds to implement Senate Bill 1016 regarding reporting of medication errors to a state contractor that was not funded by the state legislature. | The University of North Carolina at Chapel Hill, Office of Sponsored Research | \$303,093.00 |
| NC | 2012-04-NC-0822 | Education and training utilizing high fidelity simulation technology; | Future Care of North Carolina, Inc. | \$213,992.00 |
| NC | 2012-04-NC-1029 | To turn an existing courtyard into a playground and garden area for resident's children, grandchildren and friends to foster intergenerational activities. To put a fence around the children's playground; | Lutheran Home-Albermarle | \$14,395.00 |
| NC | 2012-04-NC-1115D | To renovate the nurses station entrance with plank siding and trim and to purchase, install and maintain a 150 gallon saltwater aquarium; | Pender Memorial Hospital | \$15,000.00 |
| NC | 2012-04-NC-1126D | To purchase three sets of tools for interactive and sensory stimulation activities; | White Oak Manor-Charlotte | \$15,000.00 |
| NC | 2012—04-NC-1221 | To augment the LTCO budget for training; | Triangle J Area Agency on Aging Ombudsman Program | \$14,085.00 |
| NC | 2012-04-NC-2010 | To implement a new techno-therapeutic program; | Liberty Commons Rehabilitation Center | \$14,770.59 |
| NC | 2014-04-NC-1204 | CMP funds requested to supplement a federal grant provided by the U.S. Agency for Healthcare Research and Quality (AHRQ) on infection | The University of North Carolina at Chapel Hill | \$104,643.00 |
| NC | 2014-04-NC-1106 | A three-year project to refocus and restructure the basic nurse aide training programs offered throughout the state to adopt a uniform curriculum | North Carolina Foundation for Advanced Health Programs, Inc. | \$1,164,693.00 |
| NC | 2015-04-NC-0126 | To purchase atigraphy equipment, activity supplies and a convection oven to support the Quality Sleep Project | Lutheran Services for the Aging d/b/a Trinity Glen | \$21,115.00 |
| NC | 2015-04-NC-0527 | To use High fidelity human patient simulation equipment in sixty (60) North Carolina nursing homes to conduct onsite nursing team training | FutureCare of North Carolina | \$338,460.00 |
| NC | 2016-04-NC-0106 | To pay for a communication mobile application; personnel to market, demonstrate and assist customers with the application throughout the state, as well as, the costs associated with the copyright and trademark of the application, all legal fees, cybersecurity and liability insurance | Wade Health Care Company | \$326,410.00 |
| NC | 2016-04-NC-0608 | CMP funds requested to improve sleep patterns, falls, Vitamin D utilization, the Pathways sensory garden and a fence. | Black Mountain Neuro-Medical Treatment Center | \$25,746.53 |
| TN | 2015-04-TN-0128 | To implement a Music and Memory program for 125 Tennessee nursing homes | Tennessee Health Care Association | \$243,412.50 |

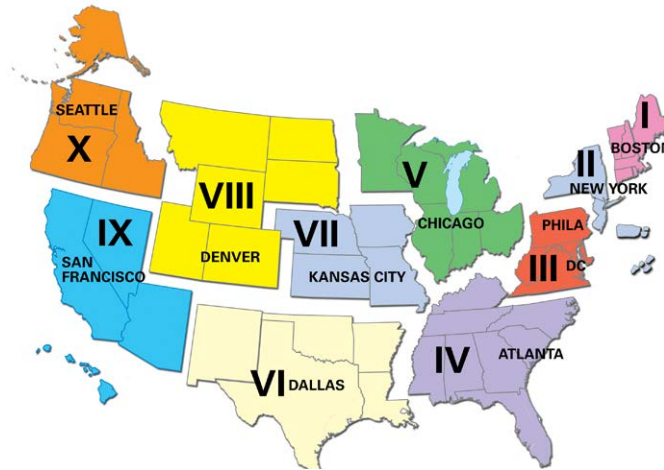
Cumulative list of projects received in Region IV 2012-2016

| YEAR | CMP REQUESTS RECEIVED | CMP APPLICATION APPROVED | CMP REQUESTS UNFUNDED |
|--------------|-----------------------|--------------------------|-----------------------|
| 2012 | 49 | 40 | 9 |
| 2013 | 18 | 16 | 2 |
| 2014 | 39 | 34 | 5 |
| 2015 | 29 | 24 | 5 |
| 2016 | 29 | 26 | 3 |
| Total | 164 | 140 | 24 |

Total approved CMP funds 2012 - 2016



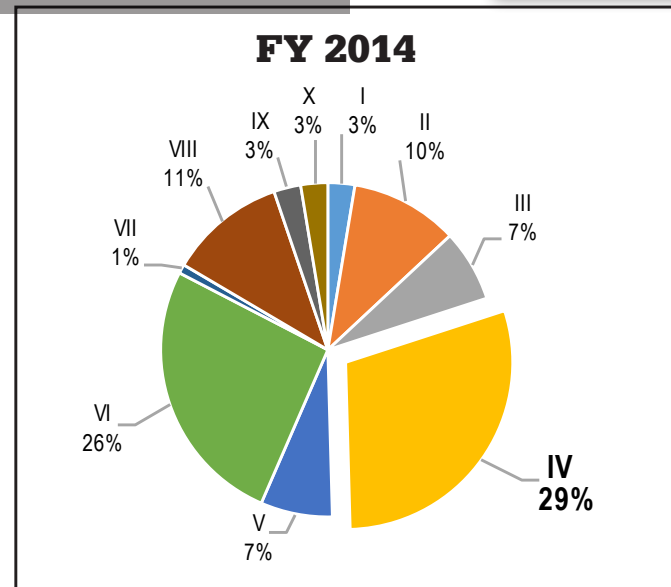
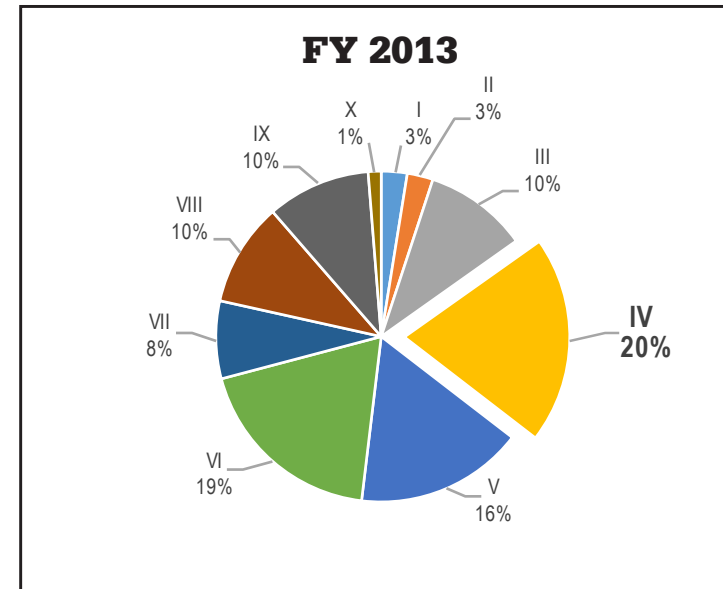
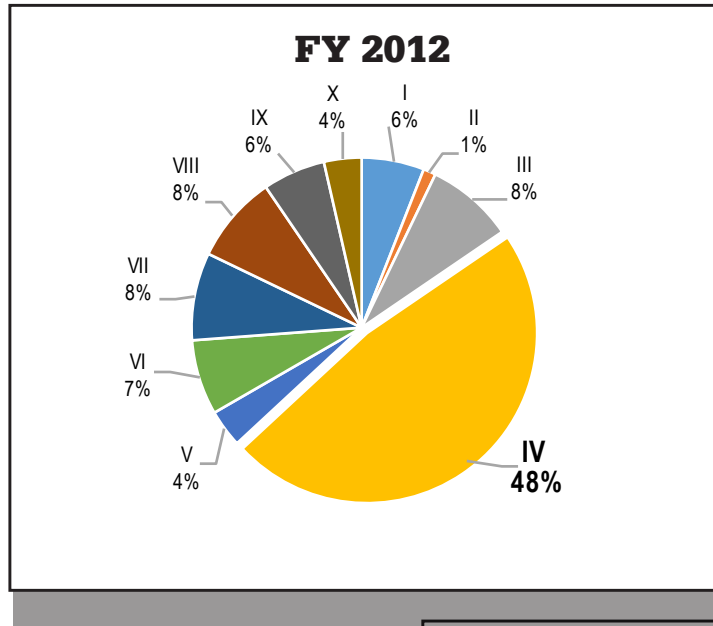
Nationwide CMP Approved Projects 2012 through 2014



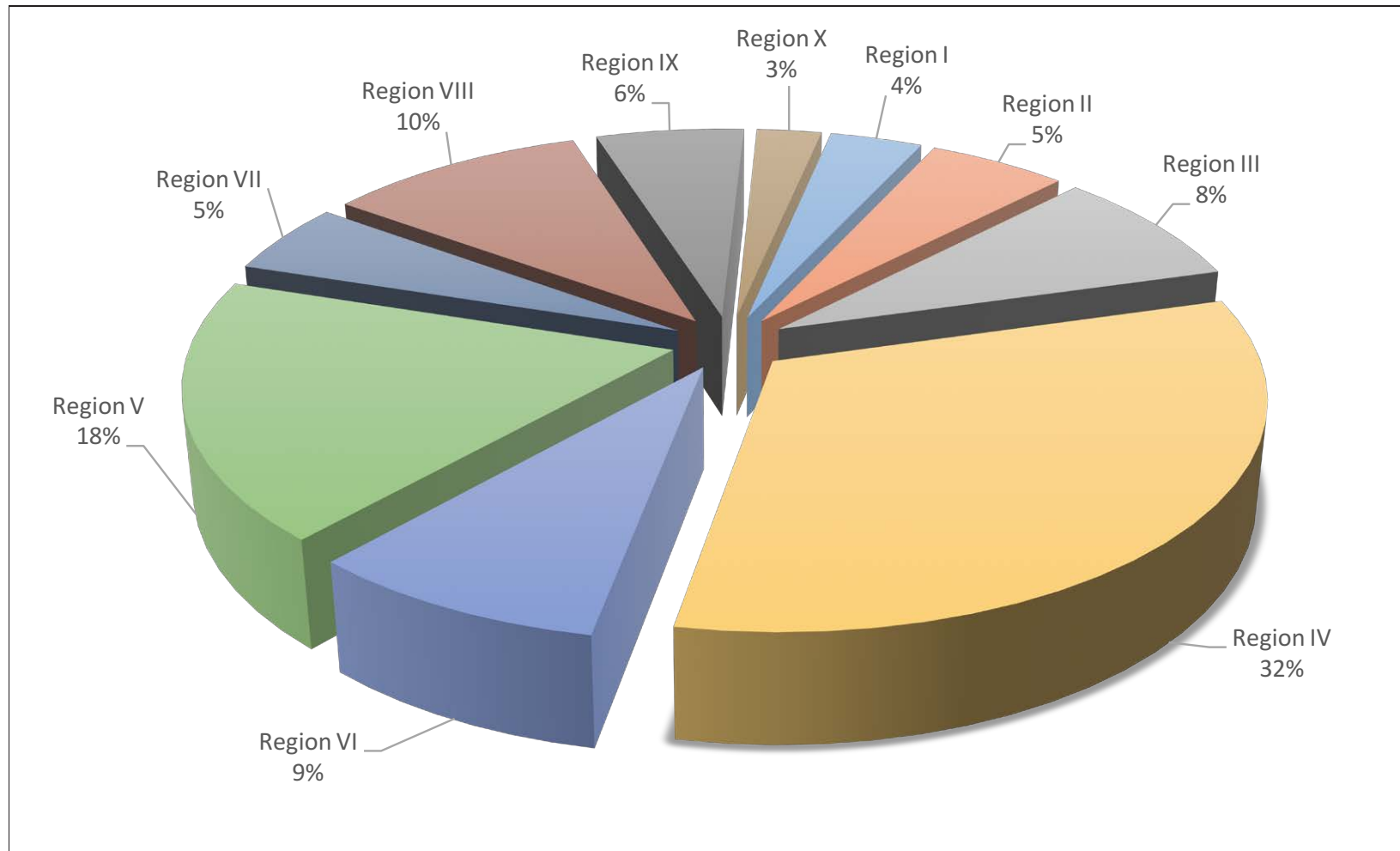
| CMS REGIONAL OFFICE | 2012 | 2013 | 2014 | THREE-YEAR CUMULATIVE TOTAL BY CMS REGIONAL OFFICE |
|--------------------------|-----------|-----------|------------|--|
| I (Boston) | 5 | 2 | 3 | 10 |
| II (New York) | 1 | 2 | 12 | 15 |
| III (Philadelphia) | 7 | 8 | 8 | 23 |
| IV (Atlanta) | 40 | 16 | 34 | 90 |
| V (Chicago) | 3 | 13 | 8 | 24 |
| VI (Dallas) | 6 | 15 | 30 | 51 |
| VII (Kansas City) | 7 | 6 | 1 | 14 |
| VIII (Denver) | 7 | 8 | 13 | 28 |
| IX (San Francisco) | 5 | 8 | 3 | 16 |
| X (Seattle) | 3 | 1 | 3 | 7 |
| Total All Regions | 84 | 79 | 115 | 278 |

DATA SOURCE: CMS Annual Transparency Report for 2012, 2013 and 2014

Nationwide CMP Approved Projects 2012 through 2014



Nationwide CMP Approved Projects 2012 through 2014



The Region IV – State Civil Money Penalty (CMP) Fund Coordinators are:



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Florida – Bernard E. Hudson

Georgia – LaKeisha Porter, Ed.D

Kentucky – Michelle Mitchell, RN, BSN, RAC-CT

Mississippi – Dawn E. Owens, MHSA and Phyllis Caudill, R.N.

North Carolina –Becky Wertz

South Carolina – MaryJo Roue and Porscha Rogers

Tennessee – Carol Mace



Special Thanks

Sandra M. Pace, Associate Regional Administrator
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Bessie Barnes
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Tina Holloway
Phyllis King
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Bridget Dunner-Wallo